S.2 PURPOSE AND NEED

This section discusses the reasons why the NNSA is proposing to construct and operate a MPF, as well as the goals to be achieved with MPF. This section also discusses relevant national security policies and their relationship to MPF.

S.2.1 Introduction and Need for a Modern Pit Facility

As explained in Section S.1.1, DOE's NNSA is responsible for the safety and reliability of the U.S. nuclear weapons stockpile, including production readiness required to maintain that stockpile. Plutonium pits are an essential component of nuclear weapons. Historically, plutonium pits for the nuclear weapons stockpile were manufactured at the DOE's Rocky Flats Plant in Colorado. At peak production, the Rocky Flats Plant produced a thousand or more pits per year. In 1989, due to environmental and safety concerns, pit production was shut down by the DOE at the Rocky Flats Plant, leaving the Nation without the capability to produce plutonium pits for the nuclear weapons stockpile. Today, the United States is the only nuclear weapons power without the capability to manufacture plutonium pits suitable for use in the nuclear weapons stockpile.¹

Since approximately 1996, the NNSA has been establishing a small interim pit manufacturing capability at the LANL. While this small interim pit production capacity is expected to be completed in 2007, classified analyses indicate projected capacity requirements (number of pits to be produced over a period of time), and agility (ability to rapidly change from production of one pit type to another, ability to simultaneously produce multiple pit types, or the flexibility to produce pits of a new design in a timely manner) necessary for long-term support of the stockpile will require a long-term pit production capability. In particular, identification of a systemic problem associated with an existing pit type, class of pits, or aging phenomenon cannot be adequately responded to today, nor could it be with the small capability currently being established at LANL. Sections S.2.1.1 and S.2.1.2 discuss pit aging and accelerated aging testing. Sections S.2.1.3 and S.2.1.4 provide a discussion of capacity and agility requirements that would be addressed by the proposed MPF.

S.2.1.1 Pit Aging as a Driver

Modern nuclear weapons have a primary, which contains a central core, the "pit" (typically composed of plutonium-239). Many complex physical and chemical interactions occur during the split second that the primary operates.

However, as materials age, particularly those in nuclear weapons, they tend to change. Agerelated changes that can affect a nuclear weapon's pit include changes in plutonium properties as impurities build up inside the material due to radioactive decay, and corrosion along interfaces, joints, and welds. The reliability of the U.S. nuclear weapons stockpile requires that pits will operate as designed.

Although the U.S. nuclear weapons stockpile is presently safe and reliable, these nuclear weapons are aging. The average age of the stockpile is currently about 19 years, and many

¹ NNSA has demonstrated the capability to manufacture development pits at the LANL TA-55 Plutonium Facility.

weapons have exceeded their original design life. In the past, individual weapons in the stockpile were replaced by new-design or upgraded weapons before they approached the end of their design life. However, because the United States has not produced any new nuclear weapons since 1989, some weapons are remaining in the stockpile much longer than previously. This may create issues about the performance capability of stockpile weapons because of uncertainties in the effects of pit aging past the design life. Planning and design of a MPF is a prudent risk management approach to assure readiness to support the stockpile.

S.2.1.2 Assessment of the Pit Lifetime

Pit lifetime is a fundamental uncertainty which NNSA is working to quantify. Currently deployed, enduring stockpile pits will reach their end-of-life (EOL) at some presently unknown future date. (In this context, EOL refers to the time when a weapon system with a particular pit can no longer be certified to meet military characteristics in required environments, due to aging [discussed above in Section S.2.1.1]). In order to determine when this EOL occurs, NNSA must understand aging in plutonium and the effect of aging-related changes on pit performance. The three most important potential aging effects in plutonium result from the radioactive decay of the various plutonium isotopes (and the impact of this decay on the chemistry, structure, and properties of the material), the thermodynamic phase stability of the plutonium alloy, and the corrosion of the plutonium during both storage and function. In many cases, these aging effects accumulate slowly over decades, and not necessarily in a linear fashion. Only when key properties have sufficiently changed would NNSA anticipate a measurable impact on weapons safety or performance. Through the process of accelerated plutonium aging experiments, model development of the age-related changes, and design sensitivity studies, weapons designers are working to specify the limits of acceptable change for each of these properties by evaluation of performance margins associated with each system. By combining these limits with the measured or predicted rates of change due to aging effects, NNSA expects to improve estimates for pit lifetimes.

A series of experiments are being conducted to measure the properties (fundamental structural, physical, chemical and mechanical properties, such as electrical resistivity and elastic constants, and metallic properties such as density, chemistry and strength) of the accelerated-aging plutonium samples as they age beyond the oldest plutonium in the stockpile. The results from accelerated aging experiments will be used in design analyses and further tests to assess the potential impact of aging on the performance of weapons. Based on information developed to date, which includes careful evaluation of the effects described above through extensive characterization of old pits, modeling, and preliminary design sensitivity calculations, initial estimates of minimum pit lifetimes have been derived. Evaluation of the oldest samples of plutonium metal, both metal of oldest absolute age (40 years) as well as the oldest samples most directly comparable to the enduring stockpile (25 years) have shown predictably stable behavior. Hence, the NNSA weapons laboratories have determined that pits will perform adequately for 45-60 years. Moreover, continuing research will strengthen the linkage between changes resulting from aging, key properties, and weapons performance as determined by prior nuclear tests.

During the public scoping period, some commentors questioned whether plutonium pits degrade over time. Many cited an article written by Raymond Jeanloz that appeared in *Physics Today* in

December 2000, in which Professor Jeanloz concluded that, "Plutonium exhibits good crystalline order even after decades of aging." Professor Jeanloz suggested this as evidence that phase stability was not a likely concern. Unfortunately, recent local-structure measurements by the weapons laboratories have demonstrated the immense complexity of local atomic arrangements in the crystalline plutonium lattice and increased delta-phase stability with aging cannot be assumed. Although measurements of naturally aged plutonium have shown macroscopic delta-phase stability over time, NNSA is examining the local structure picture carefully in the accelerated aging program to assure that the 45-60 year pit lifetime remains valid.

NNSA has made substantial progress in the past few years in achieving a fundamental understanding of some of the age-related changes in plutonium. The theoretical, modeling, and experimental components are now in place to make significant progress over the next few years to quantify the margins and uncertainties. NNSA is encouraged that measurements to date have not shown any significant degradation of pits over approximately 40 years. The changes observed to date have been quite small, giving both LANL and LLNL investigators reasonable confidence in the 45-year minimum lifetime estimate based on the data collected to date.

S.2.1.3 Capacity as a Driver

Most of the pits in the enduring stockpile were produced in the mid-to-late 1970s and 1980s, and no pits have been produced since 1989. In approximately 2020, some pits in the enduring stockpile will be approaching the 45-year pit lifetime. Given the fact that many types of pits in the enduring stockpile may reach their EOL at about the same time (see Section S.2.1.4), prudent risk management requires that NNSA initiate action now to ensure that appropriate pit production capacity is available when needed. As shown on Figure S.2.1.3–1, it will take approximately 17 years to design and construct a MPF before full-scale production can begin. Consequently, in order for a MPF to be in production by approximately 2020, planning for such a facility must begin now.

It should also be noted that the size and composition of the enduring stockpile are also uncertain. In classified analyses, the NNSA has considered possible futures in which the stockpile size could be reduced to 1,000 total weapons or in which it could be as large as required to meet Nuclear Posture Review (NPR) requirements. Although the precise future capacity requirements are not known with certainty, enough clarity has been obtained through these ongoing classified studies (which are part of the classified appendix to this MPF EIS) that NNSA can identify a range of pit production capacity requirements that form the basis of initial MPF alternative evaluations during the conceptual design phase. The classified studies examined capacity requirements that would result from a wide range of enduring stockpile sizes and compositions, pit lifetimes, emergency production needs (referred to as "contingency" requirements), facility full-production start dates, and production operating practices, e.g., single versus multiple shifts.

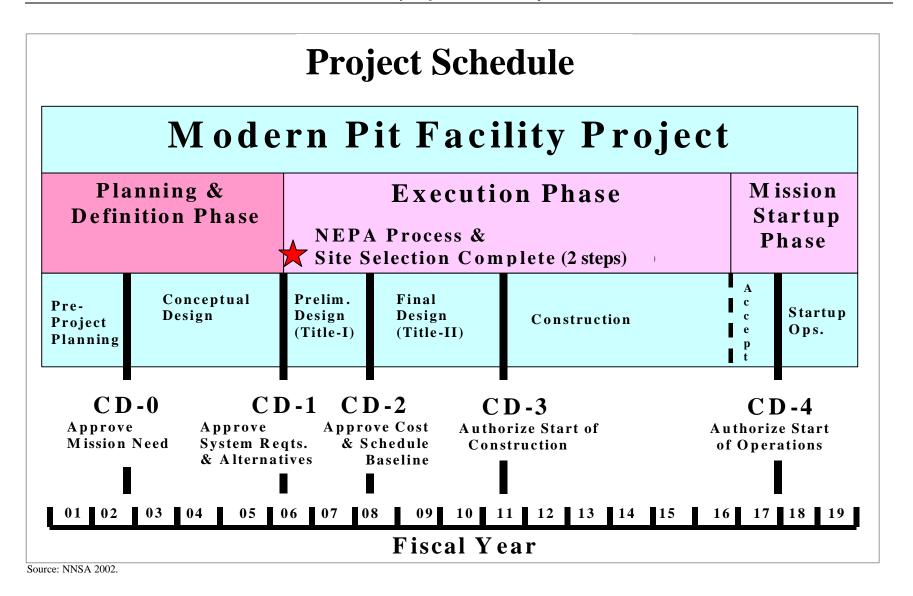


Figure S.2.1.3–1. Modern Pit Facility Project Schedule

Pit capacity requirements must also account for the need for additional pits, e.g., logistics spares and surveillance units. As a result of this requirement, the number of pits that must be available to support a specific weapon system will exceed the number of deployed strategic weapons and vary by pit type.

Contingency production requirements are also an important driver for the need for a MPF. Contingency production, which is the ability to produce a substantial quantity of pits on short notice, is distinct from the capacity needed to replace pits destroyed for surveillance or other reasons (such as for production quality assurance or other experiments). The capacity of a MPF needs to support both scheduled stockpile pit replacement at EOL and any "unexpected" short-term production. Such short-term "contingency" production may be required for reliability replacement (replacement of pits to address, for example, a design, production, or unexpected aging flaw identified in surveillance), or for stockpile augmentation (such as the production of new weapons, if required by national security needs).

In all cases, and in all combinations with other capacity drivers, the interim production capacity being established at LANL will be inadequate to maintain these projected stockpiles. The required production capacity is a function of pit lifetime, stockpile size, and start date of full-scale production. To account for these variables, this MPF EIS evaluates a pit production capacity between 125-450 ppy for full-scale production beginning in approximately 2020.

S.2.1.4 Agility as a Driver

A critical element of production readiness is the agility (the ability to change rapidly from the production of one pit type to another, or to simultaneously produce different pit types) of the production line. Pits in the current enduring stockpile were produced over a relatively short period of time and can therefore be expected to reach their respective EOLs at about the same time, as well. Thus, any strategy to replace the enduring stockpile pits before they reach their EOL must address both the production rate for a particular pit type (the capacity driver discussed in Section S.2.1.1), and the ability to produce all necessary pit types in a relatively short period of time. For this reason, agility is an essential requirement for a MPF.

Contingency production also requires agility. If contingency production is ever needed, the response time will likely be driven by either a reliability problem that requires prompt response, or another type of emergency that must be addressed quickly. Thus, changeover from production of one pit type to another will have to be demonstrated for both replacements of pits at EOL (a process that will allow for planning and scheduled activities in advance of the need date), as well as for startup of contingency production with little notice (and therefore little planning time).

S.2.2 Purposes to be Achieved by a Modern Pit Facility

If constructed and operated, a MPF would address a critical national security issue by providing sufficient capability to maintain, long-term, the nuclear deterrent that is a cornerstone of U.S. national security policy. A MPF would provide the necessary pit production capacity and agility that cannot be met by pit production capabilities at LANL.

As explained in Section S.1.4, this EIS and NEPA process will support a ROD by the Secretary of Energy on: (1) whether to proceed with a MPF; and (2) if so, where to locate a MPF. A siting decision would enable NNSA to better focus detailed design activities and to improve the efficiency and cost-effectiveness of pre-construction activities. If the Secretary decides to proceed with a MPF, a tiered, project-specific EIS would be prepared after the MPF EIS ROD. That tiered EIS, which would utilize detailed design information to evaluate site-specific location alternatives in the vicinity of the host site picked in the MPF EIS ROD, would ultimately support a ROD for construction and operation of a MPF.

S.2.3 National Security Policy Considerations

There are several principal national security policy overlays and related treaties that are potentially relevant to the proposal to construct and operate the MPF, such as: the NPR; the Nuclear Weapons Stockpile Memorandum and the corresponding Nuclear Weapons Stockpile Plan; the Nuclear Nonproliferation Treaty (NPT), and the Comprehensive Test Ban Treaty. Each of these is discussed below.

S.2.3.1 Nuclear Posture Review

In 2001, Congress required the DOD, in consultation with DOE, to conduct a comprehensive review of the nuclear posture of the United States for the next 5-10 years. The resulting classified report to Congress, entitled the *Nuclear Posture Review*, addresses the following elements:

- The role of nuclear forces in U.S. military strategy, planning, and programming
- The policy requirements and objectives for the United States to maintain a safe, reliable, and credible nuclear deterrence posture
- The relationship among the U.S. nuclear deterrence policy, targeting strategy, and arms control objectives
- The levels and composition of the nuclear delivery systems that will be required for implementing the U.S. national and military strategy, including any plans for replacing or modifying existing systems
- The nuclear weapons complex that will be required for implementing the U.S. national and military strategy, including any plans to modernize or modify the complex
- The active and inactive nuclear weapons stockpile that will be required for implementing the U.S. national and military strategy, including any plans for replacing or modifying warheads

With respect to the Proposed Action in this EIS, the NPR confirms that a MPF production facility will be required for large-scale replacement of existing plutonium components and any production of new designs. The NPR also recommends that the DOE/NNSA "accelerate preliminary design work on a modern pit manufacturing facility so that production capacity can be brought online when needed."

S.2.3.2 Nuclear Weapons Stockpile Memorandum and Nuclear Weapons Stockpile Plan

Although the NWSP and NWSM are classified documents, their effect in shaping the MPF EIS can be explained in an unclassified context. As explained in Section S.1.3, the NWSP specifies the types and quantities of nuclear weapons required, and sets limits on the size and nature of stockpile changes that can be made without additional approval by the President. The NWSM, which is jointly signed by the Secretaries of Defense and Energy, includes the NWSP and a long-range planning assessment. As such, the NWSM is the basis for NNSA stockpile support planning. The NWSP and NWSM are highly dependent upon national security objectives determined by the President. In this regard, the United States has committed to reduce the number of operationally deployed strategic nuclear weapons to 1,700-2,200 in 2012.

S.2.3.3 Nuclear Nonproliferation Treaty

The NPT was ratified by the U.S. Senate in 1969 and officially entered into force as a Treaty of the United States in 1970. Today, the United States continues to view the NPT as the bedrock of the global effort to prevent the spread of nuclear weapons and to reduce nuclear weapons stockpiles. Article VI of the NPT obligates the parties "to pursue negotiations in good faith on effective measures relating to cessation of the nuclear arms race at an early date and to nuclear disarmament, and on a treaty on general and complete disarmament under strict and effective international control." The United States has taken this obligation seriously and has reduced its nuclear weapons stockpile. Some examples are the 1987 Treaty on Intermediate Range Nuclear Forces, which eliminated an entire class of nuclear weapon systems; and the 1991 Presidential Nuclear Initiative, which led to the withdrawal and destruction of thousands of U.S. nonstrategic nuclear weapons. U.S. and Russian cooperation throughout the 1990s has led to continued reductions in nuclear weapons and the withdrawal of hundreds of tons of fissile material from defense stockpiles. The 1991 Strategic Arms Reduction Treaty led to significant reductions in the number of deployed strategic nuclear warheads. In the future, the United States will require far fewer nuclear weapons. Accordingly, President Bush has decided that the United States will reduce its operationally deployed strategic nuclear weapons to a level between 1,700 and 2,200 over the next decade.

It must be noted that the NPT does not provide any time period for achieving the ultimate goal of nuclear disarmament nor does it preclude the maintenance of nuclear weapons until their disposition. For this MPF EIS, speculation on the terms and conditions of a "zero level" U.S. stockpile, as some have suggested during the scoping meetings, goes beyond the bounds of the reasonably foreseeable future consistent with the NPR. The Proposed Action in this EIS, which would enable NNSA to maintain the reliability of the enduring stockpile until the ultimate goals of the NPT are attained, is consistent with the NPT.

S.2.3.4 Comprehensive Test Ban Treaty

The Comprehensive Test Ban Treaty, which bans all nuclear explosions for civilian or military purposes, was signed by the United States on September 24, 1996, but has never been ratified by the U.S. Senate. Nonetheless, the United States has been observing a moratorium on nuclear testing since 1992, and the NPR strategy discussed in Section S.2.3.1 reflects this policy. The